AO 120 (Rev. 08/10)

TO:

## Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

## REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

| filed in the U.S. Dist                           | trici Court                              | 5 U.S.C. § 1116 you are hereby advised that a court action has been  Central District of California on the following |
|--|--|--|
| Trademarks or                                    | Patents. (  the patent acti              | on involves 35 U.S.C. § 292.):   |
| DOCKET NO.<br>8:16-cv-01774-JVS-JCGx             | DATE FILED<br>9/23/2016                  | U.S. DISTRICT COURT  Central District of California  |
| PLAINTIFF BROADCOM CORPOR TECHNOLOGIES GENE LTD. | ATION AND AVAGO<br>ERAL IP (SINGAPORE) P | DEPENDANT AMAZON.COM, INC. AND AMAZON WEB SERVICES, INC.   |
| PATENT OR<br>TRADEMARK NO.                       | DATE OF PATENT<br>OR TRADEMARK           | HOLDER OF PATENT OR TRADEMARK  |
| See Attachment A                                 |  |  |
| 2  |  |  |
| 3  |  |  |
| 4  |  |  |
| 5  |  |  |
|  | In the above—entitled case, the          | following patent(s)/ trademark(s) have been included:  |
|  |  |  |
| DATE INCLUDED                                    | INCLUDED BY                              | ndment   |
| DATE INCLUDED  PATENT OR  TRADEMARK NO.          | 3  | ndment   |
| PATENT OR  | DATE OF PATENT                           |  |
| PATENT OR<br>TRADEMARK NO.                       | DATE OF PATENT                           |  |
| PATENT OR<br>TRADEMARK NO.                       | DATE OF PATENT                           |  |
| PATENT OR<br>TRADEMARK NO.<br>1                  | DATE OF PATENT                           |  |
| PATENT OR TRADEMARK NO.  1 2 3                   | DATE OF PATENT                           |  |
| PATENT OR TRADEMARK NO.  1  2  3  4              | ☐ Ame DATE OF PATENT OR TRADEMARK        |  |
| PATENT OR TRADEMARK NO.  1  2  3  4              | ☐ Ame DATE OF PATENT OR TRADEMARK        | HOLDER OF PATENT OR TRADEMARK  |

Copy 1—Upon initiation of action, mail this copy to Director—Copy 3—Upon termination of action, mail this copy to Director—Copy 2—Upon filing document adding patent(s), mail this copy to Director—Copy 4—Case file copy

## ATTACHMENT A

|    | TENT OR<br>ADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR<br>TRADEMARK                    |
|----|------------------------|--------------------------------|---|
| 1  | 5,870,087              | February 9, 1999               | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 2  | 6,744,387              | June 1, 2004                   | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 3  | 6,982,663              | January 3, 2006                | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 4  | 7,006,636              | February 28, 2006              | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 5  | 7,583,805              | September 1, 2009              | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 6  | 6,430,148              | August 6, 2002                 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 7  | 6,766,389              | July 20, 2004                  | Broadcom Corporation                                |
| 8  | 7,296,295              | November 13, 2007              | Broadcom Corporation                                |
| 9  | 8,284,844              | October 9, 2012                | Broadcom Corporation                                |
| 10 | 6,501,480              | December 31, 2002              | Broadcom Corporation                                |
| 11 | 6,341,375              | January 22, 2002               | Avago Technologies General IP (Singapore) Pte. Ltd. |